

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

NORTHWEST BIOTHERAPEUTICS, INC.,

Plaintiff,

- against-

CANACCORD GENUITY LLC, CITADEL
SECURITIES LLC, G1 EXECUTION
SERVICES LLC, GTS SECURITIES LLC,
INSTINET LLC, LIME TRADING CORP.,
SUSQUEHANNA INTERNATIONAL
GROUP LLP, and VIRTU AMERICAS
LLC.

Defendants.

Civil Action: 22-cv-10185

**RULE 7.1
STATEMENT**

Pursuant to Federal Rules of Civil Procedure 7.1, and to enable judges and magistrate judges of the court to evaluate possible disqualification or recusal, the undersigned counsel of record for Plaintiff Northwest Biotherapeutics, Inc. ("Plaintiff") certifies that Plaintiff is a publicly held company. Plaintiff does not have any corporate parents, subsidiaries, or affiliates which are publicly held, nor does any publicly held corporation own ten percent or more of the stock of the Plaintiff.

Dated: December 6, 2022
New York, New York

Respectfully submitted,

By: Laura H. Posner
Laura H. Posner
Michael B. Eisenkraft
Jessica (Ji Eun) Kim
COHEN MILSTEIN SELLERS & TOLL
PLLC

88 Pine Street, 14th Floor
New York, New York 10005
Tel: (212) 838-7797
Fax: (212) 838-7745

Counsel for Plaintiff